

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KITAOKA, et al.
 Attorney Docket: 10873.1950USWO
 Title: METHOD FOR PRODUCING SILICON CARBIDE (SiC) SINGLE CRYSTAL AND SILICON CARBIDE (SiC) SINGLE CRYSTAL OBTAINED BY SUCH METHOD

CERTIFICATE OF TRANSMISSION

I hereby certify that the papers listed below are being transmitted by EFS Web to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 18, 2006

By: 
 Name: Peggy Kerkhove

Mail Stop PCT
 Commissioner for Patents
 P.O. Box 1450
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53148

PATENT TRADEMARK OFFICE

Sir:

The following papers are transmitted herewith:

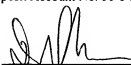
- ☒ Transmittal sheet containing Certificate of Transmission;
- ☒ National Stage Patent Application including: Description - 14 pages; Claims - 2 pages; Abstract - 1 page; Drawings - 7 sheets (formal);
- ☒ Signed Combined Declaration and Power of Attorney;
- ☒ Application Data Sheet - 6 pages;
- ☒ Information Disclosure Statement, Form 1449, 9 references
- ☒ Other: Form PTO-1390, Communication Regarding Requested Figure, International Publication Page, Form PCT/ISA/210, Form PCT/ISA/237, Form PCT/IB/304, Form PCT/IB/308
- ☒ Please charge Deposit Account No. 50-3478 for the amount of \$900 to cover the filing fee calculated below;

CLAIMS AS FILED

| Number of Claims Filed | No. | In Excess of | Extra | Rate | Fee |
|-------------------------------|-----|--------------|-------|--------|----------|
| Total Claims | 19 | 20 | 0 | 50.00 | = 0.00 |
| Independent Claims | 1 | 3 | 0 | 200.00 | = 0.00 |
| Multiple Dependent Claims Fee | | | | | = 0.00 |
| Basic Filing Fee | | | | | = 300.00 |
| Search Fee | | | | | = 500.00 |
| Examination Fee | | | | | = 200.00 |
| Utility Application Size Fee | 24 | 100 | 0 | 250.00 | = 0.00 |
| Total | | | | | = 900.00 |

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478.

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By: 
 Name: Douglas P. Mueller
 Reg. No.: 60,300
 Initials: DPM/pjk

(PTO TRANSMITTAL - NEW FILING)

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

10873.1950USWO

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

Unknown

INTERNATIONAL APPLICATION NO.

PCT/JP2005/023798

INTERNATIONAL FILING DATE

26 December 2005

PRIORITY DATE CLAIMED

28 December 2004

TITLE OF INVENTION

METHOD FOR PRODUCING SILICON CARBIDE (SiC) SINGLE CRYSTAL AND SILICON CARBIDE (SiC) SINGLE CRYSTAL OBTAINED BY SUCH METHOD

APPLICANT(S) FOR DO/EO/US

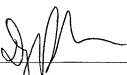
KITAOKA, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(i)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(i).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ A signed oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form 1449, 9 references.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Application Data Sheet, Communication Regarding Requested Figure, International Publication Page, Form PCT/ISA/210, Form PCT/ISA/237, Form PCT/IB/304, Form PCT/IB/308

| | | | | | |
|---|--------------|---|------------|---|--------------------------|
| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknown | | INTERNATIONAL APPLICATION NO PCT/JP2005/023798 | | ATTORNEYS DOCKET NUMBER 10873.1950USWO | |
| BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)): | | | | | |
| [X] a) Basic National fee..... | | | | \$300.00 | \$300.00 |
| [X] b) Examination fee..... | | | | \$200.00 | \$200.00 |
| [X] c) Search fee..... | | | | \$400.00 | \$400.00 |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$900.00 |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | | Rate | |
| 24 | -100 = 0 | /50 = 0 | | X \$250.00 | \$0.00 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | \$ |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 19 | -20 = 0 | X \$50.00 | \$0.00 | |
| Independent claims | 1 | -3 = 0 | X \$200.00 | \$0.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + \$360.00 | \$ |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$900.00 |
| Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27 | | | | | \$ |
| SUBTOTAL = | | | | | \$900.00 |
| Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | + \$ |
| TOTAL NATIONAL FEE = | | | | | \$900.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | | + \$ |
| TOTAL FEES ENCLOSED = | | | | | \$ |
| | | | | | Amount to be refunded \$ |
| | | | | | charged \$900.00 |
| a. [] Check(s) in the amount of \$___ to cover the above fees is enclosed. b. [X] Please charge Deposit Account No. 50-3478 for the amount of \$900 to cover the required filing fee for a large entity. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO Douglas P. Mueller Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902 Minneapolis, MN 55402-0902 | | | | SIGNATURE:  NAME: Douglas P. Mueller REGISTRATION NUMBER: 30,300 | |